

DARBY &  
DARBY805 Third Avenue  
New York, NY 10022  
Tel: (212) 527-7700  
Fax: (212) 753-6237

E-MAIL:

FILE #: 0368/020224-USDDATE: 9/26/06

NUMBER TRANSMITTING TO: 571-273-0459  
RECIPIENT: PCT Legal Dept  
COMPANY: Patent & Trademarks Office  
FROM: Flynn Barrison

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(INCLUDING COVER SHEET): 11CONFIRMATION OF THIS TRANSMISSION WILL FOLLOW BY  
FIRST CLASS MAIL (Y/N): No

## COMMENTS:

Second Request For Corrected Filing Receipt

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Legal Staff  
International Division

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1450, at 703-508-7781 on the date shown below.  
8/14/05 Suzie Williams  
8/14/05 Name  
Suzie Williams  
Signature

Customer No.: 07278

Docket No.: 03108/0202224-US0

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**In re Application of: Venkateswarlu Jasti et. al.**

Serial No.: 10/518,612

Filed: May 13, 2005

**For: NOVEL TETRACYCLIC ARYLCARBONYL INDOLES HAVING SEROTONIN RECEPTOR AFFINITY USEFUL AS THERAPEUTIC AGENTS, PROCESS FOR THEIR PREPARATION AND PHARMACEUTICAL COMPOSITIONS CONTAINING THEM**

**REQUEST FOR CORRECTED FILING RECEIPT**

Commissioner of Patents and Trademarks  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

1. Attached is a copy of the official filing receipt received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested.
2. There is an error with respect to the following data, which is:

incorrectly entered  
 omitted.

<b>Error in</b>	<b>Correct data</b>
<input type="checkbox"/> Applicant's name	
<input type="checkbox"/> Applicant's address	
<input checked="" type="checkbox"/> Title	<b>NOVEL TETRACYCLIC ARYLCARBONYL INDOLES HAVING SEROTONIN RECEPTOR AFFINITY USEFUL AS THERAPEUTIC AGENTS, PROCESS FOR THEIR PREPARATION AND PHARMACEUTICAL COMPOSITIONS CONTAINING THEM</b>
<input type="checkbox"/> Filing Date	
<input type="checkbox"/> Application Number	
<input type="checkbox"/> Foreign/PCT Application Re:	
<input type="checkbox"/> Other	

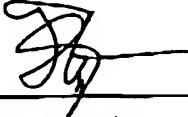
**Remarks:**

Enclosed please find a copy of the Executed Declaration.

The Commissioner is respectfully requested to issue a new and correct Filing Receipt.

Respectfully submitted,

Dated: August 4, 2005

  
\_\_\_\_\_  
Flynn Barrison  
Reg. No. 53,970  
Agent for Applicant(s)

DARBY & DARBY P.C.  
P.O. Box 5257  
New York, New York 10150-5257  
212-527-7700



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
[www.uspto.gov](http://www.uspto.gov)

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE RECD	ATTY.DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/518,612	05/13/2005	1614	1330	03108/0202224-US0		22	4

## CONFIRMATION NO. 9020

07278  
 DARBY & DARBY P.C.  
 P. O. BOX 5257  
 NEW YORK, NY 10150-5257

## FILING RECEIPT



\*OC000000016473517\*

Date Mailed: 07/26/2005

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Venkateswari Jasti, Hyderabad, INDIA;  
 Venkata Satya Nirogi Ramakrishna, Hyderabad, INDIA;  
 Rama Sastri Kambhampati, Hyderabad, INDIA;  
 Srinivasa Reddy Battula, Hyderabad, INDIA;  
 Venkata Satya Veerabhadra Vadlamudi Rao, Hyderabad, INDIA;

## Assignment For Published Patent Application

SUVEN LIFE SCIENCES LIMITED, Hyderabad, INDIA

Power of Attorney: The patent practitioners associated with Customer Number 07278.

## Domestic Priority data as claimed by applicant

This application is a 371 of PCT/IN03/00223 06/19/2003

## Foreign Applications

INDIA 477/MAS/2002 08/21/2002

Projected Publication Date: To Be Determined - pending completion of Security Review

Non-Publication Request: No

Early Publication Request: No

Comments None  
 300 Words None  
 International None  
 PCT None  
 Case None  
 Letter None  
 Foreign None

**Title**

Novel tetracyclic arylcarbonyl indoles having serotonin receptor affinity useful as therapeutic agents, process for their preparation and pharmaceutical composition containing them

**Preliminary Class**

514

**PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES**

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process simplifies the filing of patent applications on the same invention in member countries, but does not result in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

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Title 37, Code of Federal Regulations, 5.11 & 5.15**

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US 10/18,612

PCT/IN2003/00223

Express Mail Label No.

Dated:

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

DECLARATION FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am an original, first and joint inventor of the subject matter which is described and claimed and for which a patent is sought on the invention entitled:

NOVEL TETRACYCLIC ARYLCARBONYL INDOLES HAVING SEROTONIN RECEPTOR AFFINITY USEFUL AS THERAPEUTIC AGENTS, PROCESS FOR THEIR PREPARATION AND PHARMACEUTICAL COMPOSITIONS CONTAINING THEM

the specification of U.S. Patent Application Serial No. 10/518,612, which is the U.S. national phase of International Application No. PCT/IN03/00223 that was filed on June 19, 2003.

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by an amendment, if any, specifically referred to herein. I do not know and do not believe that the same was ever known or used in the United States of America before my or our invention thereof or patented or described in any printed publication in any country before my or our invention thereof, or more than one year prior to this application, or in public use or on sale in the United States of America more than one year prior to this application, that the invention has not been patented or made the subject of an inventor's certificate issued before the date of this application in any country foreign to the United States of America on an application filed by me or my legal representatives or assigned more than twelve months prior to this application.

I acknowledge the duty to disclose all information known to me that is material to patentability in accordance with Title 37, Code of Federal Regulations, § 1.56.

## FOREIGN PRIORITY CLAIM

I hereby claim foreign priority benefits under Title 35, United States Code § 119(a)-(d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

no such foreign applications have been filed

such foreign application have been filed as follows:

Attorney Docket No.: 03108/0202224-US0

**EARLIEST FOREIGN APPLICATION(S), IF ANY FILED WITHIN 12 MONTHS  
(6 MONTHS FOR DESIGN) PRIOR TO THIS U.S. APPLICATION**

Application Number	Country	Date of Filing	Priority Claimed Under 35 USC 119
477/MAS/2002	IN	June 21, 2002	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
			<input type="checkbox"/> Yes <input type="checkbox"/> No
			<input type="checkbox"/> Yes <input type="checkbox"/> No

**ALL FOREIGN APPLICATION(S), IF ANY FILED MORE THAN 12 MONTHS  
(6 MONTHS FOR DESIGN) PRIOR TO THIS U.S. APPLICATION**

Application Number	Country	Date of Filing

**CLAIM FOR BENEFIT OF EARLIER U.S. PROVISIONAL APPLICATIONS**

I hereby claim priority benefits under Title 35, United States Code §119(e), of any United States provisional patent application(s) listed below:

no such U.S. provisional applications have been filed.

such U.S. provisional application have been filed as follows:

Application Number	Date of Filing	Priority Claimed Under 35 USC 119
		<input type="checkbox"/> Yes <input type="checkbox"/> No
		<input type="checkbox"/> Yes <input type="checkbox"/> No
		<input type="checkbox"/> Yes <input type="checkbox"/> No

**CLAIM FOR BENEFIT OF EARLIER U.S./PCT APPLICATION(S)**

I hereby claim the benefit under Title 35, United States Code, §120 of the United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose all information that is material to patentability in accordance with Title 37, Code of Federal Regulations, §1.56 which became available to me between the filing date of the prior application and the national or PCT international filing date of this application:

Attorney Docket No.: 03108/0202224-US0

no such U.S./PCT applications have been filed.

such U.S./PCT application have been filed as follows:

Application Number	Date of Filing	Status (Patented/Pending/Abandoned)
PCT/IN03/00223	June 19, 2003	

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

I hereby appoint the practitioners under Customer Number  
07278

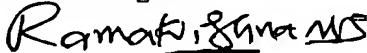
jointly, and each of them severally, my attorneys at law/patent agent(s), with full power of substitution, delegation and revocation, to prosecute this application, to make alterations and amendments therein, to receive the patent, and to transact all business in the U. S. Patent and Trademark Office connected therewith.

Please mail all correspondence to Customer Number 07278, whose address is:

Darby & Darby P.C.  
P.O. Box 5257  
New York, New York 10150-5257

Attorney Doc No.: 03108/0202224-US0

Full name of sole or first inventor <b>JASTI, Venkateswarlu</b>	
Sole or first inventor's signature 	Date 26 - March - 2005
Residence <b>Hyderabad, India</b>	
Citizenship <b>US</b>	
Mailing Address <b>Serene Chambers, Road No. 7, Banjara Hills Hyderabad, Andhra Pradesh – 500 034 INDIA</b>	

Full name of second inventor, if any <b>RAMAKRISHNA, Venkata, Satya, Nirogi</b>	
Second inventor's signature 	Date 26 - March - 2005
Residence <b>Hyderabad, India</b>	
Citizenship <b>INDIA</b>	
Mailing Address <b>Serene Chambers, Road No. 7, Banjara Hills Hyderabad, Andhra Pradesh – 500 034 INDIA</b>	

Full name of third inventor, if any <b>KAMBHAMPATI, Rama, Sastri</b>	
Third inventor's signature 	Date 26 - March - 2005
Residence <b>Hyderabad, India</b>	
Citizenship <b>INDIA</b>	
Mailing Address <b>Serene Chambers, Road No. 7, Banjara Hills Hyderabad, Andhra Pradesh – 500 034 INDIA</b>	

Full name of fourth inventor, if any <b>BATTULA , Srinivasa, Reddy</b>	
Fourth inventor's signature 	Date 26 - March - 2005
Residence <b>Hyderabad, India</b>	
Citizenship <b>INDIA</b>	
Mailing Address <b>Serene Chambers, Road No. 7, Banjara Hills Hyderabad, Andhra Pradesh – 500 034 INDIA</b>	

Full name of fifth inventor, if any
RAO, Venkata Satya Veerabhadra, Vadlamudi
Fifth inventor's signature
<i>V.S. Veerabhadra Rao</i>
Residence
Hyderabad, India
Citizenship INDIA
Mailing Address
Serene Chambers, Road No. 7, Banjara Hills Hyderabad, Andhra Pradesh - 500 034 INDIA

Full name of sixth inventor, if any
Sixth inventor's signature
Residence
Citizenship
Mailing Address

Full name of seventh inventor, if any
Seventh inventor's signature
Residence
Citizenship
Mailing Address

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\*\*\* RX REPORT \*\*\*  
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RECEPTION OK

TX/RX NO	6612
RECIPIENT ADDRESS	212 753 6237
DESTINATION ID	
ST. TIME	09/26 12:10
TIME USE	02'17
PGS.	11
RESULT	OK